



Guangdong Jiana Energy Technology Co.,Ltd.

Due Diligence Policy for Responsible Supply Chain of Cobalt

Guangdong Jiana Energy Technology Co.,Ltd. (hereinafter refer to as “The company” or “We”)recognising that risks of significant adverse impacts which may be associated with extracting, trading, handling and exporting minerals from high-risk areas, and recognising that we have the responsibility to respect human rights and not contribute to adverse impacts to society. Therefore,the company referenced the Chinese Due Diligence Guidelines for Responsible Mineral Supply Chains (Chinese Guidance), published by the China Chamber of Commerce of Metals, Minerals & Chemicals Importers & Exporters (CCCMC) and the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, Edition by the OECD (OECD Guidance), formulate our “Due Diligence Policy for Responsible Supply Chain of Cobalt”(here in after refer to as “Policy”). The company promises to identify and manage the following risks in the cobalt supply chain operation and process.

Serious violations of human rights

While mining, trading, processing, exporting and other operating activities with the cobalt raw material, the company neither tolerate nor in any way directly or indirectly support the following acts:

1. any forms of torture, cruel, inhuman and degrading treatment;
2. any forms of forced or compulsory labour, which means work or service which is exacted from any person under the menace;
3. the worst forms of child labour;



4. other gross human rights violations and abuses such as widespread sexual violence;
5. war crimes or other serious violations of international humanitarian law, crimes against humanity or genocide.

Non-state armed group

While mining, trading, processing, exporting and other operating activities with the cobalt raw material, the company not directly or indirectly provide funds, logistics and equipment support to non-state armed groups. Include the following illegal acts or activities:

1. illegally control mine sites or otherwise control transportation routes, points;
2. illegally tax or extort money or minerals resources at points of access to mine sites, along transportation routes or at points where minerals are traded;
3. illegally tax or extort intermediaries, export companies or international traders.

Public or private security forces

The company recognized that the role of public or private security forces at the mine sites and surrounding areas and along transportation routes should be solely to maintain the rule of law, including safeguarding human rights, providing security to mine workers, equipment and facilities, and protecting the mine site or transportation routes from interference with legitimate extraction and trade.

The company promise individuals or units of security forces that are known to have been responsible for gross human rights abuses will not be hired. The company engage with local authorities, international organisations and civil society organisations to avoid or minimise the adverse effects to local community or vulnerable groups.

Bribery, money laundering and payment of taxes



The company will never provide, promise, give or demand any bribes, and will resist the solicitation of bribes to conceal or disguise the origin of minerals, to misrepresent taxes, fees and royalties paid to governments for the purposes of mineral extraction, trade, handling, transport and export.

The company promise to preventing bribery in all business activities and transactions, including bribery by agents and other third parties, set standards and procedures about giving and receiving gifts.

The company promises to take effective measures to prevent money laundering or terrorist financing. We will ensure that all legal taxes, fees and royalties relating to mining, trading and export of mineral resources shall be paid to the government.

Occupational health and safety

The company promise while engaging in cobalt raw material mining, transporting, trading, processing, exporting and other business in high-risk areas, we will not directly or indirectly support, participation and association of any serious threat to personal safety and serious occupation health behaviors.

The company promises to take effective measures to keep physical and mental health of employees, contractors and other parties in the work, prevent the improper working conditions, including unsafe buildings, chemicals and machinery to cause health damage, and improve the working environment.

Adhere to the principle of continuous improvement, the company commits to incorporate the policy into the company management system and functions of the relevant departments, and also included in the contract or agreement signed with suppliers. The company also promise to strengthen communication and cooperation with the whole industry and related stakeholders, widely disseminate the policy, and take this opportunity



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continue to improve the company's cobalt supply chain responsible management capabilities.

This policy shall enter into force on the date of promulgation.

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